

## DÉRARTMENT OF COMMERCE

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| APPLICATION NO.                           | FILING DATE | FIRST NAMED INVENTOR |     |                      | ATTORNEY DOCKET NO. |  |
|---|-------------|----------------------|-----|----------------------|---------------------|--|
| 09/455,978                                | 12/06/99    | ALAM                 |     | M                    | 201040/1020         |  |
| _   |             | 1 154 4 7            | ۾ ٦ | EXAMINER             |                     |  |
| MICHAEL L G                               | OLDMAN      | HM12/082             | Ö   | SCHNIZER.H           |                     |  |
| NIXON PEABODY LLP                         |             |                      |     | ART UNIT             | PAPER NUMBER        |  |
| CLINTON SQU<br>PO BOX 1051<br>ROCHESTER N |             |                      |     | 1653<br>DATE MAILED: | 08/28/01            |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

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## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademak Office

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APPLICATION NO.

FILING DATE

FIRST NAMED INVENTOR /
PATENT IN REEXAMINATION

ATTORNEY DOCKET NO.

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**EXAMINER** 

**ART UNIT** 

**PAPER** 

9

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## **Commissioner of Patents and Trademarks**

The communication filed June 25, 2001 is not fully responsive to the Office communication mailed March 21, 2001 for the reason(s) set forth on the attached Notice To Comply With The Sequence Rules or CRF Diskette Problem Report. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Since the above-mentioned reply appears to be *bona fide* attempt to comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825), applicant is given a TIME PERIOD of **ONE (1) MONTH** from the mailing date of this communication within which to correct the deficiency so as to comply with the sequence rules (37 CFR 1.821 - 1.825) in order to avoid abandonment of the application under 37 CFR 1.821(g). EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Holly Schnizer whose telephone number is (703) 305-3722. The examiner can normally be reached Monday and Thursday from 8 a.m. to 5:30 p.m. and Tuesday and Wednesdays from 9 am to 2:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low, can be reached at (703) 308-2923. The fax phone number for Official Papers to this Group is (703) 308-4242. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Holly Schnizer, Ph.D. August 7, 2001

KAREN COCHRANE CARLSON, PH.D PRIMARY EXAMINER

A cation No.: <u>09455978</u>

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

|   | 1.                      | This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). |  |  |  |
|---|-------------------------|--|--|--|--|
|   | 2.                      | This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).   |  |  |  |
|   | 3.                      | A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).   |  |  |  |
| X | 4.                      | A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."   |  |  |  |
|   | 5.                      | The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).   |  |  |  |
|   | 6.                      | The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).  |  |  |  |
|   | <u>7.</u>               | Other: _   |  |  |  |
|   | Applicant Must Provide: |  |  |  |  |
| x | An                      | initial or substitute computer readable form (CRF) copy of the "Sequence Listing".   |  |  |  |
| x |                         | initial or <u>substitute</u> paper copy of the "Sequence Listing", as well as an amendment directing its entry into e specification.   |  |  |  |
| x | A s                     | statement that the content of the paper and computer readable copies are the same and, where applicable clude no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g).  |  |  |  |

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216 or (703) 308-2923
- For CRF Submission Help, call (703) 308-4212
- For Patentin software Program Support:
  - HELP DESK: (703) 739-8559, ext 508, M-F, 8 AM to 5 PM EST except holidays
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